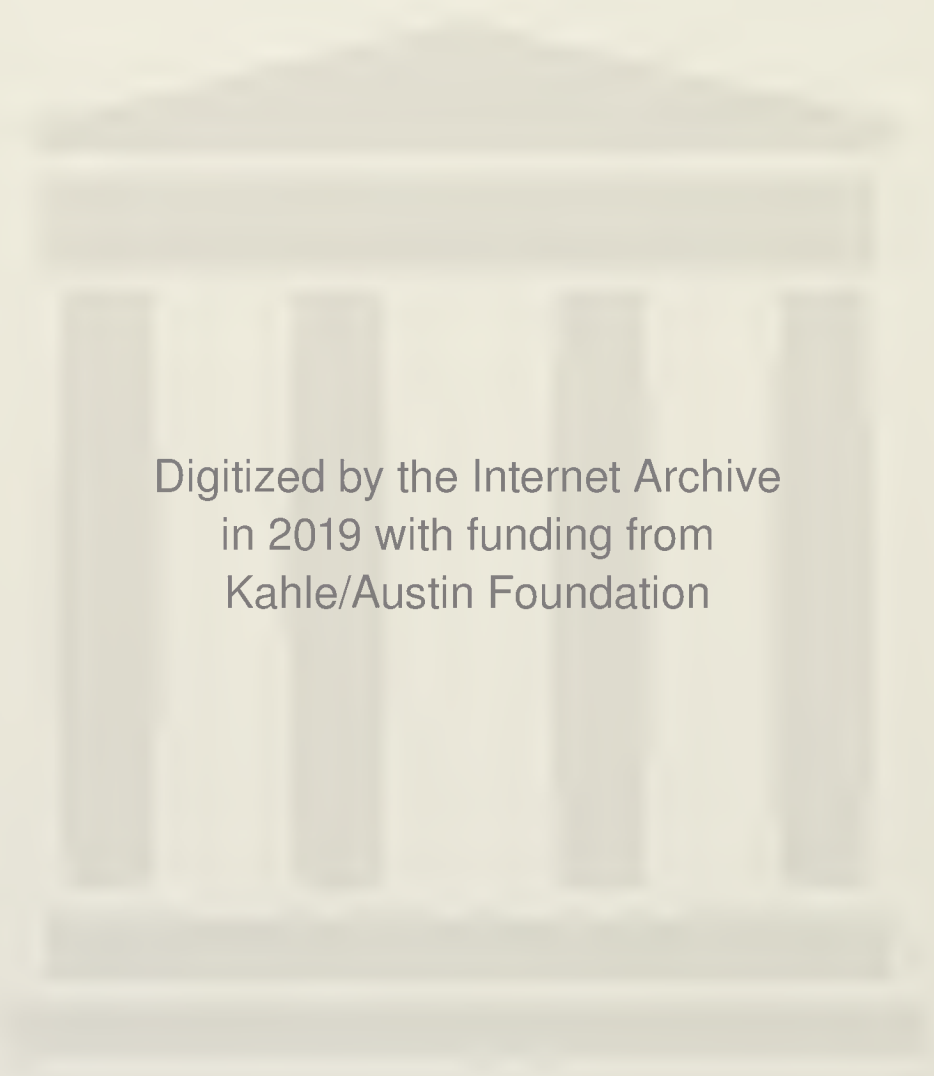


The Russian Revolution, 1905-1921

*A Bibliographic Guide
to Works in English*

Compiled by
MURRAY FRAME



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The Russian Revolution, 1905–1921

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PREFACE

The Russian Revolution of 1905 to 1921 has continued to provoke the passions and minds of observers for several decades now. Since the series of events which destroyed the Tsarist regime and led to the formation of Soviet power, participants, eyewitnesses, scholars and others have endeavoured to understand and explain the nature and significance of this central period in modern history. Their endeavours have been disseminated in English-language publications. But until now no catalogue of this literature has been available. Why produce one now?

The sheer quantity of accessible works necessitates a guide for students and specialists. Studies continue to appear with bewildering speed. In particular, there now exist countless relevant and scholarly articles. These are often dispersed throughout various "obscure" journals, some of which few people would consider consulting when studying the Russian Revolution. Students especially have precious little time to search through even the more obvious journals. And doctoral theses are steadily produced (if not in sufficient quantity). This bibliography goes some way in bringing the relevant English-language monographs, articles and doctoral theses together.

In addition to this practical consideration, there is a rather more philosophical reason for producing a bibliography of English-language materials on the Russian Revolution. The past informs us about the present (and vice-versa). Russia is presently experiencing another revolution. Economic collapse, political chaos, dissolution of empire, rejuvenation of nationalities, and the attendant quest for new ideologies and paths of development in Russia today have their nearest precedent in the revolution of 1905 to 1921. The fact that these two revolutions can be used to understand each other is reflected in various comparative articles which have appeared.¹ Far from being a redundant subject (as some have suggested), study of Russia's previous upheaval is more important than ever. Fortunately, fresh thinking on the Russian Revolution is being aided by increasing (but often expensive) access to archives in the former Soviet Union. As researchers process materials which until recently were available only to the most senior scholars in the Communist Party *privilegentsia*, a ready-to-hand catalogue of completed research is desirable. This bibliography will assist students and specialists in what continues to be a vital subject.

Bibliographic categorisation is by no means an objective process. It is determined by the interaction of the compiler's subjective opinions of the topic and the nature of the extant literature thereof. The final structure provides a definition of the topic. Moreover, the utility of a bibliography is determined by its structure. Therefore, the type of organisation utilised requires some explanation and justification.

The main criterion for the inclusion of a work was that it should concern the period of the Russian Revolution from 1905 to 1921 (though it could be a work which covers, in addition, events outside that period, as many of the entries do). However, the mere mention of the

revolution was not an acceptable reason for the inclusion of a work because the logical conclusion of that criterion would be a bibliography listing practically every work on Russia and the Soviet Union published in the twentieth century. Boundaries had to be established and only the user can judge whether or not those boundaries are reasonable. Why 1905 to 1921?

It is impossible to say when a revolution begins and ends. The origins of the Russian Revolution pre-date 1905. They are to be found at least in the 1890s, probably in the 1860s, and perhaps in the eighteenth or even earlier centuries. Yet a "dividing line" had to be chosen. Otherwise, the bibliography would be endless. Inclusion even of the 1890s would have introduced a vast array of additional works which would have rendered the bibliography less specific and therefore less useful to students specialising in the Russian Revolution.

The first Russian Revolution (that of 1905) is an appropriate starting point. It marked an important stage in the development of the struggle between Tsarism and its enemies. The events of Bloody Sunday (9 January, Old Style) were the first open clash between government and people since the eighteenth century. The Tsar's alleged complicity in the unprovoked massacre of peaceful demonstrators transformed his image in the eyes of the populace. The Little Father became Bloody Nicholas. The remainder of the year witnessed an upsurge of the three main forces which would make the revolutions of 1917. The first force, the peasantry, had always displayed a propensity for rebellion, symbolised most spectacularly in the uprisings of the seventeenth and eighteenth centuries. A relatively dormant force for much of the nineteenth century, they vented their anger at land shortages once more in the *jacquerie* of 1905. The second force was the nascent Russian proletariat. The 1890s had witnessed the emergence of a substantial and discontented urban working class. Increasingly receptive to the preachings of Russian Marxists, they struck in 1905. The third force was the intelligentsia, both liberal and revolutionary. The nineteenth century, in a sense, had been the age of the Russian intelligentsia. Russian thinkers began to question many aspects of life in their country, including the utility and morality of the autocracy. But in the absence of mass uprisings they were denied a powerful force to bolster and sanction their opposition to the regime. In 1905, provoked by the strains imposed by the Russo-Japanese War, these three forces came together. There was no conspiracy as such, but an evident coincidence of interests. For the first time, mass discontent and articulate political opposition erupted simultaneously. Tsarism was compelled to make its first political concessions.

The year 1905 witnessed the first serious manifestation of the nature of the struggle which was to continue, with varying degrees of intensity, until 1921 - the struggle, broadly defined, between old Russia and new Russia. That is not to suggest that 1917 was in any sense "inevitable". Lenin called 1905 a "dress rehearsal" for 1917, implying a preordained line of development. Yet Western scholars tend to regard the 1905 Revolution "not as an event that made any one path of development inevitable, but rather as a critical juncture that opened up several paths".²

By 1907 the regime had regained its composure and authority. The following ten years (the decade of the "Duma Monarchy") were relatively tranquil. Yet the deep fissures in the Tsarist polity and Russian society which had been illuminated during the 1905 Revolution did not heal and, under immense pressure inflicted by the First World War, the forces of discontent reappeared in 1917, this time toppling the monarchy and facilitating the Bolshevik coup.

This bibliography does not treat 1917 as a great "turning-point". The Bolsheviks did not seize power in October 1917 - they asserted their control of the second All-Russian Congress of Soviets in Petrograd. In fact, although the central Russian provinces turned to the Bolsheviks within a few weeks, it took the new regime three years to establish any authority that would have been acceptable to the later Tsars. But 1917 was certainly a pivotal moment in the on-going conflict, and that is reflected in the chronological sub-divisions of the bibliography.

The year of 1921 as a dividing line is quite common. The spring of that year is traditionally regarded as the end of the Civil War: the remnants of internal political opposition were routed (most famously at Kronstadt), a peace treaty with Poland was signed, the New Economic Policy was announced, and a trade agreement was made with Britain. The defeat of the Whites had marked the end of the most intense phase of the struggle between old Russia and new

Russia. With the defeat of the internal opponents of the Bolshevik dictatorship, the hegemony of the Communist Party was established. It might be said, therefore, that the central period of transition from Tsarist Russia to Soviet Russia (that is, the Russian Revolution) occurred between 1905 and 1921.

Certain bibliographic categories and sub-categories used by the compiler might require explanation. The *Documents* sub-category in section A contains only general collections of primary sources relating to the Revolution. More specific documentary collections are to be found under appropriate subject categories and sub-categories. Similarly, the *The 1905 Revolution*, *The February Revolution* and *The October Revolution* sub-categories in section A contain only general works on those subjects. Studies of more specialised aspects of those events are to be found in specific categories (for example, detailed works on the peasantry during the October Revolution are to be found under **Society: Peasants**, 1917).

Political Structures describes the political framework of Tsarism and Bolshevik attempts to create political institutions. *Provisional Government* is located in this section because it was essentially a political phenomenon. Indeed, it originated as the "Provisional Executive Committee of the Duma". The **Political Structures** section is followed by the **Society** which those structures governed and were part of. That is followed by categories relating to movements which supported the old regime, those who wanted to reform it, and those who wanted to overthrow it.

Perhaps the most unconventional sub-category of **Civil War** is the Kornilov Affair. Can the *Kornilov Affair* be considered part of the Civil War? Historians of the Russian Revolution have not agreed upon a precise date for the beginning of the Civil War but they all describe it as the struggle which took place against the Bolsheviks *in power*. Indeed, one recent study states that "the Civil War began with the October Revolution".³ Yet this obscures the fact that the struggle between the revolutionaries and the old regime pre-dated the Bolshevik coup of October 1917. Was the Kornilov Affair part of that struggle? It has been written that "although contemporaries as well as historians have treated the Kornilov-Kerensky conflict exclusively as a struggle for power, for Kornilov it was first and foremost a critical, probably final effort to save Russia from defeat in the war".⁴ That may be true. Yet even if Kornilov did not plan a coup, his statements and actions contributed to the oft-noted polarisation between left and right which occurred in the autumn of 1917. He detested the revolutionaries in the Soviet and believed that their influence over Kerensky was one of the main reasons for the lack of strong leadership in Russia. The reactionaries and Russian nationalists cheered Kornilov, while the revolutionaries backed Kerensky against the possibility of a right-wing takeover. Therein lay a conflict, and insofar as the Civil War was the most intensive and most brutal phase of that conflict between old Russia and new Russia, the Kornilov Affair might be considered, at the least, a prelude to the Civil War.

The qualification for membership in the **Main Non-Russian Nationalities and Regional Identities** category is two-fold: the nationality must have either gained independence from the Tsarist empire after 1917-18 (for example, Finland) and/or become a Republic of the USSR at some point (for example, the Baltics). The exceptions are the other large groups or areas with particularly strong identities, such as the Jews, the Cossacks, and Siberia. To the extent that the smaller nationalities within Russia proper are included, they tend to be in **Revolution in the Provinces**.

The only section which was truncated deliberately is "Literature". The reason for this is simple. There are so many works available on the writers and poets of the period that it would require another bibliography to compile them. Instead, a selection of some of the most relevant and accessible works is provided. The **Miscellaneous** category contains works which do not easily correspond to any of the categories used in the bibliography, but this is in no way to deny their importance (sometimes their centrality) to the study of the Russian Revolution. The section entitled **International Impact of the Revolution and World Opinion** is intended to indicate significant ramifications of the revolution such as the Red Scare in the United States between 1918 and 1920, yet without growing into a history of the United States in that period. The final category lists works dealing with the **Place and Significance of the Revolution in Russian and**

World History but it does not extend to general histories of Russia which nevertheless would deal with the same question.

All the categories should be used in conjunction with one another. For example, those interested in the Nestor Makhno movement should consult both the *Makhno and Makhnovshchina* and *Anarchists* sections, or those interested in the Okhrana should consult both *Police and Okhrana* and *Russian Espionage and Intelligence Abroad*. The Subject Index attempts to aid cross-consultation. Although this bibliography is not annotated, I have endeavoured to make the categories precise enough for the user to be able to gauge the main contents or themes of a work without any difficulty. It is my belief that the worth and utility of a book should be determined not by the compiler of the bibliography, but by the individual reader.

I wish to acknowledge my indebtedness to Professor Paul Dukes and to Cath Brennan, both of the Centre for Russian, East and Central European Studies at the University of Aberdeen, the first for providing me with the opportunity and encouragement to commence work on this project, the second for invaluable assistance in gathering article titles and for useful comments on the structure of the bibliography. Generous financial assistance from the Centre greatly facilitated my work on the bibliography. I am also grateful for the constructive comments of Dr R.B. McKean (University of Stirling) and Professor S.A. Smith (University of Essex). The staffs of the Queen Mother Library, Aberdeen, and the University Library, Cambridge, helped in various ways, often beyond the call of duty. Creidhe O'Sullivan (University of Cambridge) kindly granted me the benefit of her formidable computing skills. The shortcomings of the bibliography are, of course, my responsibility.

Murray Frame
St John's College
Cambridge University

Notes

¹ See, for example, H.G. Kyriakodis, "The 1991 Soviet and 1917 Bolshevik Coups Compared: Causes, Consequences and Legality," *Russian History* 18 (1991), no.3, pp.317-362 and J.Hiden, "Baltic Gold and Latvian Privatisation," *Journal of Baltic Studies* 23 (1992), no.1, pp.63-72. Hiden claims that his article (covering the immediate post-1917 period) "is not irrelevant to our understanding of Latvia's present odyssey towards economic change" (p.63).

² A. Ascher, *The Revolution of 1905: Russia in Disarray* (Stanford, 1988), p.2.

³ E. Mawdsley, *The Russian Civil War* (London, 1987), pp.3-4.

⁴ R. Pipes, *The Russian Revolution, 1899-1919* (London, 1990), p.448.

LIST OF JOURNALS USED

Where a journal is still current, the date (year and/or number) of the last volume/issue consulted is given. To avoid confusion title changes are indicated. Other periodical titles which appear in the text of the bibliography (but not in the following list) have not been systematically scanned. Occasionally, periodical references were taken from secondary sources, such as monographs.

- 1 *American Historical Review* 1-99 (June, 1994)
- 2 *American Slavic and East European Review* 1-20 [now *Slavic Review*]. The first three volumes are identical to the first three volumes of *Slavonic and East European Review*
- 3 *Australian Slavonic and East European Studies* 1-8 (no.1, 1994) [formerly *Melbourne Slavonic Studies*]
- 4 *Cahier du Monde Russe et Soviétique* 1-34 (1993)
- 5 *California Slavic Studies* 1-16 (1993)
- 6 *Canadian Slavic Studies* 1-5 [now *Canadian-American Slavic Studies*]
- 7 *Canadian-American Slavic Studies* 6-28 (no.1, 1994) [formerly *Canadian Slavic Studies*]
- 8 *Canadian Slavonic Papers* 1-34 (1992)
- 9 *Comparative Studies in Society and History* 1-36 (no.1, 1994)
- 10 *Cultures* 1-8 [formerly *Journal of World History*]
- 11 *East Central Europe* 1-19 (no.1, 1992)
- 12 *European History Quarterly* 14-24 (July, 1994) [formerly *European Studies Review*]
- 13 *European Studies Review* 1-13 [now *European History Quarterly*]
- 14 *Harvard Slavic Studies*
- 15 *Irish Slavonic Studies* 1-14 (1993)
- 16 *Jahrbucher für Geschichte Osteuropas* 1-42 (no.1, 1994)
- 17 *Journal of Baltic Studies* 1-25 (1994, no.2)
- 18 *Journal of Contemporary History* 1-29 (July, 1994)
- 19 *Journal of Interdisciplinary History* 1-25 (summer, 1994)
- 20 *Journal of Modern History* 1-66 (June, 1994)
- 21 *Journal of World History* 1-19 [now *Cultures*]
- 22 *Melbourne Slavonic Studies* 1-19 [now *Australian Slavonic and East European Studies*]
- 23 *Oxford Slavonic Papers*
- 24 *Oxford Slavonic Papers (New Series)* 1-26 (1993)
- 25 *Past and Present* 1-143 (May, 1994)
- 26 *Revolutionary Russia* 1-7 (no.1, 1994)
- 27 *Russian History* 1-21 (Spring, 1994)
- 28 *Russian Review* 1-53 (July, 1994)
- 29 *Russian Studies in History* 31-32 (spring 1994) [formerly *Soviet Studies in History*]
- 30 *Sbornik (Study Group on the Russian Revolution)* 1-12
- 31 *Scando-Slavica* 1-39 (1993)
- 32 *Scottish Slavonic Review* 1-21 (1993)
- 33 *Slavic Review* 20-53 (Spring, 1994) [formerly *American Slavic and East European Review*]
- 34 *Slavonic and East European Review* 7-72 (April, 1994) [formerly *Slavonic Review*]. The first three volumes are identical to the first three volumes of *American Slavic and East European Review*. Volume 19 (1939-40) is entitled *The Slavonic Year-Book*

- 35 *Slavonic Review* 1-7 [now *Slavonic and East European Review*]
- 36 *Social History* 1-19 (May, 1994)
- 37 *Soviet Studies* 1-44 (1992)
- 38 *Soviet Studies in History* 1-30 (now *Russian Studies in History*)
- 39 *Soviet Union* 1-18 [now *The Soviet and Post-Soviet Review*]
- 40 *Stanford Slavic Studies* 1-7 (1993)

Doctoral dissertation titles were taken from J.J.Dossick, *Doctoral Research on Russia and the Soviet Union* (New York, 1960), J.J.Dossick, *Doctoral Research on Russia and the Soviet Union, 1960-1975* (New York & London, 1976), and the lists compiled by J.J.Dossick and published annually in the journal *Slavic Review*.

ABBREVIATIONS USED

Journals

AHR - American Historical Review
ASEER - American Slavic and East European Review
CASS - Canadian-American Slavic Studies
CSP - Canadian Slavonic Papers
EHQ - European History Quarterly
HSS - Harvard Slavic Studies
HT - History Today
IRSH - International Review of Social History
JCH - Journal of Contemporary History
JFGO - Jahrbucher fur Geschichte Osteuropas
JMH - Journal of Modern History
JSH - Journal of Social History
OSP - Oxford Slavonic Papers
OSP (NS) - Oxford Slavonic Papers (New Series)
RH - Russian History
RR - Russian Review
Sbornik (SGRR) - Sbornik (Study Group on the
Russian Revolution)
SEER - Slavonic and East European Review
SR - Slavic Review
SS - Soviet Studies
SSH - Soviet Studies in History

Other

U.P. - University Press
ed. - editor
eds. - editors
trans.by - translated by
diss. - dissertation

A

REFERENCE, DOCUMENTS AND GENERAL

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About the Compiler

MURRAY FRAME is a research student in the Department of Slavonic Studies at the University of Cambridge. He is working on a study of Russian theatre during the Revolution.

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